



**Old Republic Surety Company
Old Republic Insurance Company**

Bituminous Casualty Corporation

"Company" means as interest(s) may appear, any of the listed companies contained herein, any and/or subsidiary(ies), and/all parent company(ies) of Old Republic Surety Company, and/or any affiliated company(ies) within the Old Republic International General Insurance Group, as well as/or any and/all reinsuring surety(ies), co-surety(ies) and any surety(ies) which have been procured to execute the bond(s), their successors or assigns.

BUSINESS FINANCIAL STATEMENT

This statement should be prepared by applicant or his bookkeeper. Copy of C.P.A. report with audit is preferred.

| | | |
|---|---|---|
| NAME AND BUSINESS ADDRESS | <input type="checkbox"/> INDIVIDUAL | BASIS BOOKS KEPT |
| | <input type="checkbox"/> CO-PARTNERSHIP | <input type="checkbox"/> CALENDAR YEAR |
| | <input type="checkbox"/> CORPORATION | <input type="checkbox"/> FISCAL YEAR IF "FISCAL" YEAR ENDS |
| STATEMENT OF ASSETS AND LIABILITIES AS OF _____ 20____ <small>(INSERT DATE, OTHERWISE STATEMENT WILL BE RETURNED.)</small> | | 20____ |

| | | | | | | | | | |
|-------------------------------|--|----------|----|----|--|---|--|----------|----|
| CASH | IN BANK | A | \$ | | NOTES PAYABLE | TO BANKS REGULAR | J | \$ | |
| | ON HAND | | \$ | | | TO BANKS FOR CERTIFIED CHECKS | J | \$ | |
| | CERTIFIED CHECKS DEPOSITED WITH BIDS | | \$ | | | TO MATERIAL FURNISHERS | J | \$ | |
| STOCKS, BONDS, ETC. | | B | \$ | | | TO OTHERS (EXCLUSIVE OF EQUIPMENT) | J | \$ | |
| ACCOUNTS RECEIVABLE | COMPLETED CONTRACTS | C | \$ | | DUE SUB-CONTRACTORS | (ACCOUNT OF RETAINED PERCENTAGES AND CURRENT ESTIMATES) | K | \$ | |
| | EARNED ESTIMATES (UNCOMPLETED CONTRACTS) | D | \$ | | | ACCOUNTS PAYABLE | (INCLUDING COST OF LABOR AND MATERIALS NOT YET BILLED) | L | \$ |
| | RETAINED PERCENTAGES | D | \$ | | NOT PAST DUE | | \$ | | |
| | LABOR AND MATERIALS (NOT YET BILLED) | D | \$ | | PAST DUE | | \$ | | |
| | OTHER | E | \$ | | | | | | |
| NOTES RECEIVABLE | | F | \$ | | TAXES | FEDERAL AND STATE INCOME | | \$ | |
| MATERIALS: | IN STOCK - NOT INCLUDED IN ABOVE ITEMS | G | \$ | | | | RESERVE FOR INCOME ON CURRENT YEAR'S EARNINGS | | \$ |
| | FOR CONTRACTS UNDERWAY | | \$ | | | OTHER (DESCRIBE): | | \$ | |
| | OTHER | | \$ | | | *DUE ON EQUIPMENT WITHIN 12 MONTHS | | \$ | |
| | | | \$ | | | OTHER CURRENT LIABILITIES: (DESCRIBE) | | \$ | |
| | | | \$ | | | | | \$ | |
| TOTAL CURRENT ASSETS | | | | \$ | TOTAL CURRENT LIABILITIES | | | | |
| | EQUIPMENT (BOOK VALUE) | H | \$ | | DUE ON EQUIPMENT - NOT DUE WITHIN 12 MONTHS | | H | \$ | |
| | REAL ESTATE | I | \$ | | | AMOUNT PAYABLE MONTHLY | \$ | | |
| | FOR BUSINESS | | \$ | | DUE ON REAL ESTATE | | I | \$ | |
| | HOMESTEAD | | \$ | | AMOUNT PAYABLE MONTHLY | \$ | | | |
| | INVESTMENT | | \$ | | OTHER LONG TERM LIABILITIES | | | | |
| OTHER ASSETS (EXPLAIN) | | | | \$ | | | | | |
| | | | | \$ | | | | | |
| | | | | \$ | | | | | |
| | | | | \$ | CAPITAL STOCK (PAID IN) IF A CORPORATION | | | | |
| | | | | \$ | SURPLUS AND UNDIVIDED PROFITS | | | | |
| TOTAL ASSETS | | | | \$ | TOTAL LIABILITIES | | | | |

| | | |
|--|--|----|
| STATEMENT OF EARNINGS FOR PERIOD BEGINNING | GROSS INCOME FROM CONTRACT WORK | \$ |
| | GROSS INCOME FROM ALL OTHER SOURCES | \$ |
| 20 | TOTAL INCOME | \$ |
| AND ENDING | EXPENSES OF CONDUCTING BUSINESS (INCL. RENT, INSURANCE, ETC.) | \$ |
| 20 | SALARIES TO OFFICERS OR PARTNERS | \$ |
| | DIVIDENDS PAID DURING YEAR | \$ |
| BASIS INCOME REPORTED FOR PAYMENT FEDERAL TAX | FEDERAL TAXES ACTUALLY PAID DURING YEAR | \$ |
| <input type="checkbox"/> ACCRUAL <input type="checkbox"/> CASH | RESERVE FOR FEDERAL TAXES FOR CURRENT YEAR | \$ |
| <input type="checkbox"/> COMPLETED JOB | TOTAL EXPENDITURES | \$ |
| <input type="checkbox"/> PERCENTAGE OF COMPLETION | NET PROFIT OR LOSS | \$ |
| | IF NO PROVISION HAS BEEN MADE FOR FEDERAL TAXES FOR CURRENT YEAR, STATE ESTIMATED AMOUNT | \$ |

DO YOU HAVE OTHER EQUIPMENT THROUGH LEASE-PURCHASE OR RENTAL AGREEMENTS? YES NO

A
CASH
IN
BANK

| NAME OF BANK | LOCATION | AMOUNT OF DEPOSIT | IN WHOSE NAME |
|--------------|----------|-------------------|---------------|
| | | | |
| | | | |
| | | | |
| | | | |

B
STOCKS
BONDS
ETC.

| NAME OF SECURITY | NO. SHARES | PAR VALUE | MARKET VALUE | IN WHOSE NAME REGISTERED | IF PLEDGED, TO WHOM AND FOR WHAT PURPOSE |
|------------------|------------|-----------|--------------|--------------------------|--|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

C
ACCOUNTS
RECEIVABLE
FROM
COMPLETED
CONTRACTS

| AMOUNT | WHEN DUE | FROM WHOM DUE - NAME & ADDRESS | NATURE OF CONTRACT | AMOUNT OF CONTRACT |
|--------|----------|--------------------------------|--------------------|--------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

D
EARNED
ESTIMATES,
RETAINAGE,
LABOR AND
MATERIALS
(NOT BILLED)
ON UNCOM-
PLETED
CONTRACTS

| CURRENT EARNED ESTIMATES | RETAINAGE EARNED AND WITHHELD | LABOR & MATERIALS (NOT YET BILLED) | DESIGNATION OF CONTRACT AND ADDRESS FROM WHOM DUE | CONTRACT PRICE | COMPLETION DATE |
|--------------------------|-------------------------------|------------------------------------|---|----------------|-----------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

E
OTHER
ACCOUNTS
RECEIVABLE

| AMOUNT | WHEN DUE | FOR WHAT DUE | IS IT GOOD? | NAME & ADDRESS FROM WHOM DUE |
|--------|----------|--------------|-------------|------------------------------|
| | | | | |
| | | | | |
| | | | | |

F
NOTES
RECEIVABLE

| AMOUNT | WHEN DUE | FOR WHAT DUE | HOW SECURED | NAME & ADDRESS FROM WHOM DUE |
|--------|----------|--------------|-------------|------------------------------|
| | | | | |
| | | | | |
| | | | | |

G
MATERIALS

| DESCRIPTION | COST PRICE | MARKET VALUE |
|-------------|------------|--------------|
| | | |
| | | |

| H EQUIPMENT | QUAN- TITY | DESCRIPTION AND CAPACITY | AGE | PURCHASE PRICE | DEPRECIATION CHARGED OFF | BOOK VALUE | ENCUMBRANCE | AMOUNT PAYABLE MONTHLY |
|---------------------------|---------------|--------------------------|-----|----------------|-----------------------------|---------------|-------------|---------------------------|
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| I REAL ESTATE | LOCATION AND DESCRIPTION OF PROPERTY | IN WHOSE NAME IS TITLE | PRESENT FORCED SALE VALUE | AMOUNT OF MORTGAGE | NAME OF MORTGAGEE |
|--------------------------------|--------------------------------------|------------------------|------------------------------|-----------------------|----------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| J NOTES PAYABLE | AMOUNT | TO WHOM PAYABLE - NAME AND ADDRESS | PURPOSE | SECURITY | WHEN DUE |
|----------------------------------|--------|------------------------------------|---------|----------|----------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| K EARNED ESTIMATES AND RETAINAGE DUE SUB- CONTRACTORS | CURRENT EARNED ESTIMATES | RETAINAGE EARNED AND WITHHELD | DESIGNATION OF CONTRACT AND NAME AND ADDRESS TO WHOM DUE | AMOUNT OF CONTRACT | ESTIMATES PAID TO DATE |
|---|-----------------------------|----------------------------------|---|-----------------------|---------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| L ACCOUNTS PAYABLE, INCLUDING COST OF LABOR AND MATERIALS NOT YET BILLED | AMOUNT | NAME AND ADDRESS TO WHOM PAYABLE | FOR WHAT | PURCHASE DATE | DATE EXPECT TO PAY |
|---|--------|----------------------------------|----------|---------------|--------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| CORPORATIONS ANSWER HERE | DATE INCORPORATED | AUTHORIZED CAPITAL | \$ _____ | PRESIDENT | _____ | AGE | _____ | FINANCIAL WORTH OUTSIDE CORP. | _____ |
|--------------------------------|-----------------------|------------------------------------|----------|-------------------|-------|-------|-------|----------------------------------|-------|
| | STATE INCORPORATED | CAPITAL PAID IN CASH | \$ _____ | VICE PRESIDENT | _____ | _____ | _____ | | |
| | | CAPITAL PAID IN EQUIPMENT, ETC. | \$ _____ | SECRETARY | _____ | _____ | _____ | | |
| | | TOTAL PAID UP CAPITAL | \$ _____ | TREASURER | _____ | _____ | _____ | | |

| PARTNERSHIPS ANSWER HERE | DATE OF ORGANIZATION: | _____ | NAME AND ADDRESS OF PARTNERS | _____ | AGE | _____ |
|--------------------------------|--|-------|------------------------------|-------|-----|-------|
| | <input type="checkbox"/> GENERAL <input type="checkbox"/> LIMITED | | | | | |
| | SEPARATE STATEMENTS SHALL BE FILLED OUT AND SIGNED BY EACH MEMBER OF THE FIRM SHOWING HIS INDIVIDUAL ASSETS AND LIABILITIES EXCLUSIVE OF HIS PARTNERSHIP INTEREST. | | | | | |

ARE YOU ENGAGED IN ANY OTHER LINE OF BUSINESS?

HAVE YOU EVER FAILED IN BUSINESS OR COMPROMISED WITH CREDITORS?

IF ANY SURETY COMPANY EVER PAID A LOSS ON YOU AS AN INDIVIDUAL, OR, IF A FIRM, ON ANY OF THE FIRM MEMBERS, OR, OF A CORPORATION, ON ANY OF THE OFFICERS, GIVE NAME OF SURETY COMPANY AND DETAILS.

HAVE YOU EVER DEFAULTED ON A CONTRACT?

IF YOU HAVE FURNISHED BONDS BEFORE, GIVE NAMES OF YOUR SURETIES.

| BANK | NAME & ADDRESS | WHERE CREDIT LINES ESTABLISHED | AMOUNT | SECURITY BANK REQUIRES |
|------|----------------|--------------------------------|--------|------------------------|
| | | | \$ | |
| | | | \$ | |
| | | | \$ | |

ARE YOU AT THIS TIME
 DISCOUNTING BILLS
 PAYING IN 30 TO 60 DAYS
 PAYING OVER 60 DAYS
 ARE YOU PAYING ANY BILLS BY NOTES?
 YES NO

DO YOU HAVE YOUR BOOKS PERIODICALLY AUDITED BY A C.P.A. OR OTHER LICENSED ACCOUNTANT?
 YES NO
 IF "YES" GIVE DATE OF LAST AUDIT AND NAME OF ACCOUNTANT.

NAME & ADDRESS OF SUBSIDIARY COMPANIES AND % OF CONTROL.

DESCRIBE ANY CONTINGENT LIABILITIES:

INSURANCE CARRIED

| FIDELITY BONDS ON OFFICERS & EMPLOYEES | AMOUNT/LIMITS | ISSUING COMPANY | | | |
|--|---------------|-----------------|-----------------|-----------------|-----------------|
| LIFE | | ON WHOM CARRIED | TO WHOM PAYABLE | ISSUING COMPANY | SURRENDER VALUE |
| FIRE | | ON WHAT CARRIED | | | ISSUING COMPANY |
| WORKERS' COMPENSATION AND LIABILITY | | ISSUING COMPANY | | | |
| OTHER | | CHARACTER | | | ISSUING COMPANY |

NAME AND ADDRESS OF CONCERNS FROM WHOM YOU BUY

- | | |
|----|----|
| 1. | 4. |
| 2. | 5. |
| 3. | 6. |

For the purpose of inducing Company to issue or procure the issuance of bonds and other writings obligatory in the nature thereof, either on behalf of the undersigned or on behalf of any principal for which the undersigned is acting as an indemnitor, and of establishing and procuring credit from time to time without depositing collateral security to the full amount thereof, the undersigned furnish(es) Company the foregoing as a true and accurate statement of the undersigned's financial condition as of the date given and hereby represent(s) that answers to the foregoing interrogatories are true. The undersigned agree(s) to notify Company promptly of any change that materially reduces the undersigned's pecuniary financial responsibility, and to furnish additional or supplemental financial statements or other information from time to time as required by Company. The undersigned hereby authorize(s) and request(s) any or all depositories or banks in which any funds of the undersigned may be deposited or from which moneys may be borrowed, to advise Company whenever requested by it, the amount of such deposits and/or loans; and any depository bank, material man, supply house, or other person, firm, or corporation is hereby authorized to furnish any information requested by Company concerning any transactions with the undersigned; and Company may furnish copies of the foregoing statement and any information which it now has, or may hereafter obtain, to other companies for the purpose of securing reinsurance or co-insurance.

THIS FORM WAS PREPARED BY APPLICANT BOOKKEEPER C.P.A. FROM DATA COMPILED BY APPLICANT BOOKKEEPER C.P.A.

SIGNED AND SEALED THIS _____ DAY OF _____ 20__

NOTE: IF A CORPORATION, SIGN CORPORATE NAME BY AND AUTHORIZED OFFICER, AND IMPRESS CORPORATE SEAL. IF A PARTNERSHIP, EACH MEMBER OF FIRM SHALL AFFIX SIGNATURE BELOW FIRM NAME.

SUBSCRIBED AND SWORN BEFORE ME THIS _____ DAY OF _____ A.D., 20__

NOTARY PUBLIC